

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4045957
<b>Application Number:</b>	09967272
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6788
<b>Title of Invention:</b>	ELECTRONIC TRADING SYSTEM FOR SIMULATING THE TRADING OF CARBON DIOXIDE EQUIVALENT EMISSION REDUCTIONS AND METHODS OF USE
<b>First Named Inventor/Applicant Name:</b>	Carlton Bartels
<b>Customer Number:</b>	63710
<b>Filer:</b>	Antonio Papageorgiou/Michael Brinton
<b>Filer Authorized By:</b>	Antonio Papageorgiou
<b>Attorney Docket Number:</b>	01-1055
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	01-1055_081001_IDS.pdf	78856 <small>2087c581477c0b550a0a08201435a6e4b3d9f53702</small>	no	2

### Warnings:

### Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	01-1055_081001_PTO_Form_1 449.pdf	94507 956a52f6c6b4824b08522a3497a7e766492e 9fcb	no	2
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	WO02037433.pdf	2621302 8fb159821a08570c3ab229c49a21cc7b4b11 b08a1c	no	88
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	Global_Warning_Today.pdf	180219 23925a3377e49852ac4a9f70938482583a b4b48	no	2
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	01-1039_Application_0996737 5.pdf	2801602 3c81c0f4d79a12624a7edc4c3f647c3a243 e1b4	no	66
<b>Warnings:</b>					
<b>Information:</b>					
6	NPL Documents	03-1077_Application_1082495 4.pdf	2796603 dc522f18a0ff17c978dec3ab37d175a3689a c05d	no	78
<b>Warnings:</b>					
<b>Information:</b>					
7	NPL Documents	01-1039-1_Application_112048 25.pdf	4848056 747baea0f6089061d4d7f1a4802d4f65c92372 b095	no	79
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<b>Total Files Size (in bytes):</b>			13421145		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.